



09-29-00

PAPER #7

UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 98,442)GAD 277
#4 7/75
IDS w/ references
PATENT
OCT - 3 2000
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In the Application of:

James R. Whittleage
Kenneth F. Carlino2176
Art Unit: 2771

Serial No.: 09/129,308

Examiner: To Be Assigned

Filed: August 5, 1998

For: METHOD AND SYSTEM FOR
CONTENT CONVERSION OF
ELECTRONIC DATA USING
DATA MININGAsst. Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above-identified new patent application:
 - 1) Fourth Supplemental Information Disclosure Statement (IDS) Letter
 - 2) Fourth Supplemental Information Disclosure Statement (IDS) 1449-Form (4 Sheets)
 - 3) Copies of IDS Citations (32 Citations)
 - 4) Return Postcard
2. A check in the amount of \$0.00 is enclosed for the Filing Fee.
3. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein-above, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on this 28th day of September, 2000. Express Mail No. EL602859155US.

By:

Stephen Lesavich
Reg. No. 43,749



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 98,442)

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James R. Whitley
Kenneth F. Carlino

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DATA MINING

Art Unit: 2771

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FOURTH SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT LETTER

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a

representation that the cited references have effective dates early enough to be “prior art” within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. Patents

1. Guck, U.S. Patent No. 5,911,776 Issued June 15, 1999
2. Krishna, et al., U.S. Patent No. 6,055,522 Issued April 25, 2000
3. Blonder, et al., U.S. Patent No. 6,098,085 Issued August 1, 2000
4. Yamanaka, et al., U.S. Patent No. 5,983,247 Issued November 9, 1999

Foreign Patents

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6. EP 0 969 389 A2, filing date: 28-June-99
7. EP 0 718 783 A1, filing date: 20-Dec-95
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16. WO 99/57657 A1, filing date: 30-Apr-99
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18. WO 99/45793 A1, filing date: 08-Apr-97

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21. WO 97/17662 A1, filing date: 06-Nov-95

Other Documents

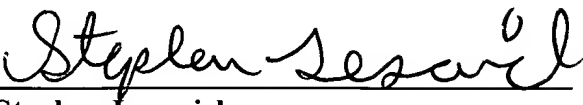
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5. U.S. Robotics Exhibits Spyglass Prism Dynamic Content Conversion Solution at CelluCommEXPO'97, May 20, 1997.
6. Spyglass Prism Allows Non-PC Devices to Display Content up to Four Times Easier, Sept. 16, 1997.
7. Spyglass Ships Spyglass Prism 1.0 Dynamic Content Conversion Solution; Revolutionary Product Delivers Existing Web Content to Non-PC Devices, Sept. 16, 1997.
8. Spyglass Prism 1.0 Significantly Improves Internet Performance on Windows CE 2.0 Devices, Sept. 30, 1997.
9. Industry-leading SurfWatch Content Filtering Delivered for Spyglass Prism, Oct. 1, 1997.
10. Nokia Selects Spyglass Prism Content Conversion Technology For Future Wireless Data Products, Oct. 21, 1997.

11. Spyglass Internet Infrastructure Products Open Web to New Devices by Optimizing Performance and Enhancing User Experience, Dec. 10, 1996.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: September 28, 2000

By: 
Stephen Lesavich
Registration No. 43,749